

Northern B Health and Disability Ethics Committee

Annual Report
2021

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**Contents**

[About the committee 1](#_Toc108704007)

[Chairperson’s report 2](#_Toc108704008)

[Membership and attendance 4](#_Toc108704009)

[Membership 4](#_Toc108704010)

[Attendance 6](#_Toc108704011)

[Training and conferences 7](#_Toc108704012)

[Applications reviewed 8](#_Toc108704013)

[Complaints and overdue application summary 9](#_Toc108704014)

[Complaints received 9](#_Toc108704015)

[Overdue review 9](#_Toc108704016)

[Appendix 1: Details of applications reviewed 11](#_Toc108704017)

[Applications reviewed by full committee 11](#_Toc108704018)

[Declaration by Head of Organisation with Primary Responsibility for the EC 41](#_Toc108704019)

[Declaration by EC Chairperson 41](#_Toc108704020)

[Declaration by Head of Organisation with Primary Responsibility for the EC 41](#_Toc108704021)

# About the committee

The Northern B Health and Disability Ethics Committee (HDEC) is a Ministerial committee established under section 11 of the [New Zealand Public Health and Disability Act 2000](http://www.legislation.govt.nz/act/public/2000/0091/latest/DLM80051.html). Its members are appointed by the Minister of Health through the public appointments process.

The primary function of the Committee is to provide independent ethical review of health research and innovative practice in order to safeguard the rights, health and wellbeing of consumers and research participants and, in particular, those persons with diminished autonomy.

The Committee is required by its [Terms of Reference](http://www.ethicscommittees.health.govt.nz/moh.nsf/indexcm/ethics-about-central#tor) to submit an Annual Report to the Minister of Health. The Annual Report must include information on the membership of the Committee, a summary of the applications reviewed during the year, details of any complaints received (and how they were resolved), and areas of review that caused difficulty when making decisions, among other matters.

### Approvals and registrations

The Northern B HDEC is approved by the Health Research Council Ethics Committee for the purposes of section 25(1)(c) of the [Health Research Council Act 1990](http://legislation.govt.nz/act/public/1990/0068/latest/DLM213017.html).

The Northern B is registered (number IRB00008715) with the United States’ Office for Human Research Protections. This registration enables the committee to review research conducted or supported by the US Department of Health and Human Services.

# Chairperson’s report

It is my privilege to present this Annual Report of the work of Northern B HDEC over the last year. In the year ending June 2021, the committee reviewed a total of 231 applications. 129 of these were intervention studies and 102 were observational research. 112 applications were reviewed at full committee meetings, while 119 came through the expedited (low risk) pathway.

I am happy to confirm that Northern B has functioned effectively over the reporting period, and in accordance with the HRCEC’s expectations for proper process and membership, the Guidelines for the Approval of Ethics Committees, and HDECs Standard Operating Procedures. My sincere thanks go to all Members and staff of the HDEC Secretariat for ensuring that the committee functions smoothly, even as the challenge of health research in the times of COVID-19 demands more of us all. The Secretariat, under the leadership of Nic Aagaard, are to be congratulated for keeping the operations running as efficiently as possible for all their committees over this exceptionally difficult year, even as HDECs were experiencing significant volumes. It is clear that the global research community increasingly views New Zealand as a good place to conduct health research, and HDECs are now stretched to the limits of their capacity.

We are especially grateful for the Secretariat’s tremendous efforts, particularly those of Matthew Poulsen, in customising the ERM platform for HDEC’s and researchers’ use. The transition and roll-out of ERM has gone very smoothly, and from a new user’s perspective it is a great improvement over the previous portal.

The NEAC Standards (2019) are noticeably embedded across the sector, and have lifted applicants’ and their institutions' understanding of the expected ethical (and study documentation) standards considerably, although the rate of proposals that reach only Provisional Approval at first review remains steady: as the level of detail for compliance in the Standards increased, so has the number of Standards the committees must apply. It is hoped that more frequent use of the HDEC provided guide for Data (and Tissue) Management Plan, combined with the new and more fulsome on-line application form in the ERM system will supply the committee with the depth and breadth of information it needs to confidently provide more first-time-through Approvals.

In this reporting period, we fare-welled valuable members Dr Nora Lynch, Jane Wylie, and Tangihaere Macfarlane. This put considerable pressure for a time on the remaining non-lay members Leesa Russell and Stephanie Pollard as we continued to await a new tranche of appointments, which eventually came for September 2021. I am very grateful to seconded member Dr Devonie Waaka who has undertaken NTB’s expedited review of low-risk applications and amendments with me, and for the thoughtful contributions of the other lay-members Susan Sherrard and John Hancock. The Covid-necessitated shift to Zoom meetings is now the new-normal, and is while it is a reasonably effective forum for ethical conversations, the sustained concentration required to move through a maximum of 12 applications is tiring for the committee and the attending Advisors. The members were happy to receive a small increase in fees (to the top of the assigned band in the framework provided by the Cabinet Office), but a review of whether the committees are positioned appropriately within that framework is overdue.

The emergency operating procedure for a rapid review of COVID related research remained open throughout the year, and sincere thanks must go to the HDEC members from each of the 4 committees who have stepped up to this challenge.

Specific concerns of note relate to the persistent and significant reductions to the limits (in any one claim and in the annual aggregate) given on the insurance certificate that accompany commercially sponsored trials - from an average of about $20 million, to an average of $5 million or less. Members are not able to determine how realistic these amounts are for compensating an injured participant, or whether it is indeed “ACC- equivalent”. We worry that such a participant who was not satisfied with the compensation offered would face an uphill legal process. Also of concern is the continued absence of a regulatory framework for medical devices. The length and complexity of the Participant Information Sheets remains a frustration for the ethics committee and investigators alike, particularly for the more involved clinical trials. Lead investigators should continue to encourage global sponsors to use the NZ-approved PIS template at first submission to HDECs, and every effort should be made to ensure that content of these documents is lay friendly. We are uncertain as to how research governance will be built into the health and disability sector reforms, or how meaningful consultation with Māori about individual research projects at a local level can be achieved within a more centralised structure.  Fortunately, tension around Right 7(4) for intervention studies in adults who cannot consent seems to have reduced, as investigators working in such areas as critical care have become more exert in framing ‘best interests’ justifications within their protocols.

In the coming year, we look forward to the strategic refreshment to come to our Standard Operating Procedures as part of the Modernisation project, and to considerations of further committees and/ or a more tightly defined scope of review. I remain confident that Northern B HDEC can continue to do its important work of assessing whether health and disability research meets the ethical standards expected.

Kate O’Connor

Chair – Northern B HDEC

# Membership and attendance

## Membership

### List of EC members within the reporting period

**Mrs Kate O’Connor (Chair)**

Membership category: Ethical and Moral Reasoning

Date of appointment: 13th August 2021

Current term expires: 16th August 2024

Kate O'Connor was formerly the Executive Secretary of the AUT Ethics Committee. Prior to this she worked as a research Contracts Manager for Uniservices Ltd in the University of Auckland's School of Population Health, was Secretary for the University of Auckland's Human Participants Ethics Committee, and the Biological Safety Committee. She has Master of Arts in philosophy and a Post Graduate Diploma in business. She was on the Working Party of the NEAC National Ethical Standards, and Royal Society Te Apārangi's Code of Professional Standards and Ethics. She is a member of the Data Ethics Advisory Group (NZ Stats).

**Mr John Hancock**

Membership category: Law

Date of appointment: 14th December 2015

Current term expires: 14th December 2018

John is currently a Senior Legal Adviser at the Human Rights Commission. Prior to that, he was Principal Adviser (Legal) at the Office of the Children’s Commission. He practiced for many years as a Youth Advocate in the Auckland Youth Court and was the senior supervising solicitor at YouthLaw, a community law centre that provides a free legal service for people aged under 25. He also has extensive experience in civil society advocacy and law reform work, international human rights reporting and has served on Ministerial committees and the boards of non-government organisations.

**Dr Nora Lynch**

Membership category: The provision of health and disability services

Date of appointment: 25th July 2015

Current term expires: 24th July 2022

Dr Nora Lynch is:

* member of a committee of specialist rheumatologists which prepared treatment guidelines for local specialist use
* Ministry of Health Ethics Committee Member (HDEC- NTB) 2015 onwards
* Ministry of Health Working Party – National Research Ethics Guidelines Update 2017 onwards
* CMA (Companionship and Morning Activities for the elderly)
* Board Member 2015- Chair 2017-MEMBERSHIPS New Zealand Rheumatology Association- awarded Life Membership 2014
* American College of Rheumatology- International Fellow
* Fellow Royal Australasian College of Physicians.

**Mrs Jane Wylie**

Membership category: The design and conduct of intervention studies

Date of appointment: 19th May 2017

Current term expires: 19th May 2020

Jane Wylie was originally a Medical Laboratory Scientist, and after raising a family and completing a DipSocSci (Massey 1994) she returned to work in 2001 as New Zealand Coordinator for an Australasian research consortium into familial breast cancer. In 2006 she moved to clinical trials work for the Haematology Research Unit at Auckland Hospital, acting as Team Leader for several years, and then in 2014 to new trials development and quality management in Cancer Trials New Zealand at the University of Auckland. During these years she obtained a MHSc(Hons) with post-grad. studies in medical ethics, health law, research design and cancer therapies. Most recently Jane has been a Research Project Manager at the University of Auckland of studies investigating molecular profiling in blood cancers. Jane also held a part-time research consultancy facilitating projects across a range of health disciplines (2005-2012). Other roles include secondment to the NZ Health Innovation Hub (2012), and she currently serves on the Auckland Health Research Ethics Committee (AHREC) under the Auckland Academic Health Alliance and is a member of several health professional organisations.

**Mrs Stephanie Pollard**

Membership category: The design and conduct of intervention studies

Date of appointment: 14th December 2015

Current term expires: 14th December 2018

Mrs Stephanie Pollard is currently employed as an independent Clinical Research Consultant. With a 20 year career in clinical trials she specialises in oncology trials and previously held Research Manager roles at the University of Auckland (2007-2011) and University of Leeds, UK (1996-2006). She obtained a Master’s degree in Healthcare Ethics and Law in 2005.

**Miss Tangihaere Macfarlane\***

Membership category: Consumer/ community perspectives

Date of appointment: 20th May 2017

Current term expires: 19th May 2020

Miss Macfarlane (Te Arawa, Ngāti Whakaue, Ngāti Pikiao, Ngāti Rangiwewehi) is Quality and Risk Manager, Complaints Officer and Privacy Officer at Te Roopu Taurima O Manukau Trust (2011 – present). She has previously held positions on the Lakes District Health Board Community & Public Health Advisory Committee (2001 – 2007), Te Kotahitanga o Te Arawa Fisheries Trust (2004 – 2013), and Te Roopu Hauora o Te Arawa Trust (2001 – 2007). Miss Macfarlane has completed a Bachelor of Health Science (2007) and a Diploma in Māori Business (2004), among other studies.

**Mrs Leesa Russell**

Membership category: Consumer/ community perspectives

Date of appointment: 13th August 2021

Current term expires: 16th August 2024

Mrs Leesa Russell is Business Manager for Planning, Funding and Outcomes at Waitemata DHB and is seconded to Metro Auckland Data Sharing Programme Manager to implement findings of a recent review. She has a Post Graduate Diploma in Quality Systems at Massey looking at Metro Auckland Data Sharing, and is Chair of the University of Auckland Human Participants Ethics Committee B. She has previously worked as a Business Manager in Primary Care, was the Project Manager responsible for System Level Measures in the Metro Auckland Region. She has experience working in clinical quality, improvement and audit related roles, including Quality Lead at Waitemata DHB and Clinical Audit Manager at St John, Process Manager and Extended Storage Co-ordinator at Fertility Associates, working closely with ECART. Her interest in ethics began with the role of Ethics Coordinator at the Health Research Council and prior to this she worked in clinical research roles including Research Fellow in Neuropsychology. She has a Masters and a Bachelors degree in (healthcare related) Sociology from the University of Auckland.

**Ms Susan Sherrard**

Membership category: Consumer/ community perspectives

Date of appointment: 19th March 2019

Current term expires: 19th March 2022

My passion is to improve the lives of disabled people. I identify as a disabled woman, partner and mother. I have been working in the disability sector for over 30 years, in positions including working directly with disabled people and whānau, Disabled Person led Organisations, Community, Government and service providers. My qualifications support the work I do, a Master of Arts in Nursing, and a Diploma in Psychotherapy.

##

## Attendance

|  |
| --- |
| **LEGEND:** **\*** After name indicates Māori member**Y** = Present **A** = Apology**X** = Meeting cancelled / No meeting scheduled **/** = Not a member of committee during this time |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Members**  | ***Membership category*** | **9 Jul 2020** | **13 Aug 2020** | **10 Sep 2020** | **08 Oct 2020** | **12 Nov 2020** | **10 Dec 2020** | **11 Feb 2021** | **10 Mar 2021** | **14 Apr 2021** | **12 May 2021** | **9 Jun 2021** | **Total**  |
| Mrs Stephanie Pollard | NL | A | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | 10/11 |
| Miss Tangihaere Macfarlane\* | L | **Y** | **Y** | **Y** | **Y** | **Y** | A | **Y** | **Y** | **Y** | **Y** | / | 9/11 |
| Mrs Kate O'Connor | L | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | 11/11 |
| Dr Nora Lynch | NL | **Y** | A | A | A | A | A | / | / | / | / | / | 1/11 |
| Mrs Leesa Russell | NL | **Y** | **Y** | **Y** | **Y** | A | **Y** | **Y** | **Y** | A | **Y** | **Y** | 9/11 |
| Mr John Hancock | L | **Y** | A | **Y** | **Y** | **Y** | **Y** | A | A | A | **Y** | **Y** | 7/11 |
| Mrs Jane Wylie | NL | **Y** | **Y** | A | **Y** | **Y** | **Y** | **Y** | A | **Y** | **Y** | / | 8/11 |
| Ms Susan Sherrard | L | **Y** | A | **Y** | **Y** | A | **Y** | **Y** | **Y** | **Y** | **Y** | **Y** | 9/11 |
| Dr Devonie Waaka\*(Co-opted) | NL | / | / | **Y** | / | / | / | **Y** | / | / | / | / | 2/11 |
| Ms Catherine Garvey | L | / | / | / | / | **Y** | / | / | / | / | / | / | 1/11 |
| Dr Peter Gallagher (Co-opt) | NL | / | / | / | **Y** | / | / | / | / | / | / | / | 1/11 |
| Dr Cordelia Thomas(Co-opted)  | L | / | **Y** | / | / | / | / | / | / | / | / | / | 1/11 |
| Dr Sarah Gunningham(Co-opted) | L | / | **Y** | / | / | / | / | / | / | / | / | / | 1/11 |
| Dr Patries Herst(Co-opted) | NL | / | **Y** | / | / | / | **Y** | / | / | / | / | / | 1/11 |
| **Total no. of members present**  |  | **8** | **8** | **7** | **8** | **6** | **7** | **7** | **6** | **5** | **7** | **5** |  |
| **No. of applications considered**  |  | **11** | **12** | **8** | **12** | **11** | **12** | **10** | **8** | **8** | **6** | **7** |  |

## Training and conferences

### Specify the training undergone by new members

There were no new members appointed during this reporting period.

### Specify the on-going training for EC members

Training was held on the new ethics IT system. An update on ethics and review training is provided in the cover letter.

### If no training was undertaken, provide reasons below

This reporting round did not include any new members or formal training days. Training was scheduled numerous times in anticipation of new members being appointed. Appointments were continually delayed, resulting in NEAC writing to the Minister. Their letter is attached, and once appointments were made in August 2021 training for all members was subsequently provided. An agenda is provided that covers the training topics.

# Applications reviewed

### Summary of applications received by full EC

The Secretariat notes that HDEC cannot defer, however they can provisionally approve. We have answered as if defer is a provisional approval.

|  |  |
| --- | --- |
| No. of applications approved | 0 |
| No. of applications approved subject to conditions  | 15 |
| No. of applications deferred and subsequently approved (provisional approval) | 66 |
| No. of applications deferred as at time of report (provisionally approved) | 20 |
| No. of applications that were declined because of no/insufficient consultation with appropriate Māori/whanau/iwi/hapu | 1 |
| No. of applications that were declined because of no/insufficient consultation with appropriate cultural group | 0 |
| No. of applications declined (This excludes those with no/insufficient consultation with appropriate Māori/whanau/iwi/hapu/cultural group)  | 8 |
| No. of applications which do not require ethics committee approval (applications submitted to Committee that were either incomplete or out of scope) | 33 |
| No. of studies withdrawn by researcher | 2 |
| No. of studies terminated by sponsor | 0 |
| No. of studies transferred to another EC  | 0 |
| **Total number of applications received by full EC**  | **145** |

### Summary of applications received under expedited / low risk review.

|  |  |
| --- | --- |
| No. of applications approved | 8 |
| No. of applications approved subject to conditions  | 30 |
| No. of applications deferred and subsequently approved | 54 |
| No. of applications deferred as at time of report | 9 |
| No. of applications which do not require ethics committee approval (applications submitted to Committee that were incomplete or out of scope) | 22 |
| No. of applications referred for full committee review | 0 |
| No. of applications declined | 16 |
| No. of studies withdrawn by researcher | 2 |
| **Total number of applications received under expedited / low risk review** | **141** |

|  |  |
| --- | --- |
| **Total number of applications received:** | **286** |

# Complaints and overdue application summary

This section outlines complaints about decisions made by the Committee during 2016.

## Complaints received

Complaint One

**Study Reference:** 16/NTB/89

**Type of Complaint**: Numerous complaints and OIA received to HDEC regarding study conduct

**Review Date:** August 2021

**Complaint Received:** 29/06/2021

Nature of Complaint: The HDEC received several OIAs and complaints regarding study conduct and the ethics of the study. This study also had several media articles about it, generating further complaints. No complaints were made by study participants, rather they were members of the public to the HDEC and the British Dental Journal (publisher).

**Actions Taken:**

* + Complaints and OIAs sent to Manager
	+ Manager acknowledged the complaint and OIAs.
	+ Chair of NTB HDEC informed and requested information from the CI to address complaints.
	+ First round of OIAs and complaints responded to.
	+ Through further investigation and information from media articles, HDEC decides further explanation is required.
	+ Chair of NTB HDEC placed the study’s approval under reconsideration, issuing a written letter and requesting that the CI attend a full HDEC meeting to discuss the complaint.
	+ CI responds to the letter, which is forwarded to the Chair and wider HDEC.
	+ HDEC reviews letter prior to the meeting, and requested changes to the final report.
	+ HDEC determined the complaints did not warrant revocation of the ethics approval.
	+ The study has closed.

**Outcome:**

The study is complete and closed.

## Overdue review

Average review times take into account the time taken for the Secretariat to process applications and the time taken for the Committee to review applications. The clock is stopped when a decision letter is emailed to applicants. Average review times exclude time taken for researchers to respond to requests for further information. Researchers have up to 90 days to respond.

Average review time was 23 days for expedited applications. Target timeframe for expedited applications is 15 calendar days. The Average time has been skewed by an outlier with significant numbers on the clock due to submission issues from the researcher or technical issues faced by the secretariat as well as statutory holidays (with the clock running during break periods). Additionally, Northern B had some expedited applications that were requiring full review due to COVID-19.

(Standard Operating Procedures for Health and Disability Ethics Committees, para 9-102).

Average review time was 25 days for full applications. Target timeframe for full applications is 35 days. (Standard Operating Procedures for Health and Disability Ethics Committees, para 54-59).

#

# Appendix 1: Details of applications reviewed[[1]](#footnote-1)

## Applications reviewed by full committee

| **Study reference** | **Short Title** | **CI Name** | **Outcome of first review (if date = provisional/Invalid)** | **Study Status** | **Date of final decision** | **CI Organisation (locality not captured)** | **Main sponsor type** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| 20/NTB/146 | Haemodynamic predictors of Type A Aortic Dissection | Dr. Varun Sharma |  | Approve | 14/09/2020 |  | no sponsor |
| 20/NTB/148 | MINOCA-BAT | Dr Jithendra Somaratne |  | Invalid Application | 23/10/2020 | Auckland District Health Board | no sponsor |
| 20/NTB/150 | (duplicate) Evaluation of Mental Health Co-Response Team | Dr Susanna Every-Palmer |  | Approve | 16/07/2020 | University of Otago, Wellington | no sponsor |
| 20/NTB/151 | FAMILY GROUP EDUCATION INTERVENTION FEASIBILITY STUDY FOR MOOD DISORDERS | Dr Maree Inder | 22/07/2020 | Decline | 21/08/2020 | University of Otago, Christchurch | academic institution |
| 20/NTB/152 | CHOLECOVID Study New Zealand | Prof Ian Bissett |  | Invalid Application | 13/07/2020 | The University of Auckland | collaborative research |
| 20/NTB/153 | Reducing reliever reliance and overuse intervention study | Dr Holly Foot | 22/07/2020 | Approve | 18/08/2020 |  | no sponsor |
| 20/NTB/154 | Boxing-Parkinson's disease | Mr Tone Ricardo Benevides Panassollo | 22/07/2020 | Approve | 17/08/2020 | Auckland University of Technology | no sponsor |
| 20/NTB/155 | Waha Nui Study | Dr Anneka Anderson | 25/08/2020 | Approve | 21/09/2020 | The National Hauora Coalition/ Te Kupenga Hauora Māori, The University of Auckland | medical device company |
| 20/NTB/156 | Predict and Prevent COVID-19 | Professor Colin Simpson | 25/08/2020 | Approve | 18/11/2020 | Victoria University of Wellington | academic institution |
| 20/NTB/157 | BGB-A317-A445-101 | Dr Sanjeev Deva | 25/08/2020 | Approve | 25/09/2020 | Auckland City Hospital | pharmaceutical company |
| 20/NTB/158 | A Study to Investigate HXP124 in patients with onychomycosis(fungal nail disease) Patients with Mild to Moderate Onychomycosis | Professor Marius Rademaker | 25/08/2020 | Approve | 14/09/2020 | Clinical Trials New Zealand | pharmaceutical company |
| 20/NTB/159 | Cystic Fibrosis: A Phase 2 Study of ABBV-3067 Alone and in Combination with ABBV-2222 | Dr Michael Epton | 25/08/2020 | Approve | 11/11/2020 | Christchurch Hospital | pharmaceutical company |
| 20/NTB/160 | Inspire me! Lung Mechanics and CPAP in the NICU | Dr Jennifer Knopp | 25/08/2020 | Approve | 17/09/2020 | University of Canterbury | academic institution |
| 20/NTB/161 | Combined Cleft Clinic PREM audit Waikato DHB | Mr. Matthew Mitchell |  | Invalid Application | 23/07/2020 |  | no sponsor |
| 20/NTB/162 | Feasibility of using waveform capnography in ambulance practice | Miss Samantha-Jo Harris |  | Invalid Application | 23/07/2020 | Charles Sturt University | no sponsor |
| 20/NTB/163 | Hydroxychloroquine treatment for acute rheumatic fever | A/Prof Nigel Wilson | 25/08/2020 | Approve | 24/09/2020 | ADHB | district health board (DHB) |
| 20/NTB/164 | Paediatric Prehospital Finger Thoracostomy in Blunt Trauma: a case report | Dr Stephanie Mackie |  | Invalid Application | 24/07/2020 |  | no sponsor |
| 20/NTB/165 | (duplicate) (duplicate) A serological survey of SARS-CoV-2 in the Auckland population | Professor Chris Bullen | 25/08/2020 | Approve | 23/09/2020 | University of Auckland | academic institution |
| 20/NTB/166 | The C\*STEROID Trial | Associate Professor Katie Groom | 25/08/2020 | Approve | 28/09/2020 | The University of Auckland | academic institution |
| 20/NTB/167 | Relevance of metabolic profiles in children with cardiac disease | Mrs Sandra Divanisova | 13/08/2020 | Approve | 5/10/2020 | ADHB | no sponsor |
| 20/NTB/168 | Safety and efficacy study to compare oral semaglutide with placebo in children and adolescents ages 10 to less than 18 years of age with type 2 diabetes | Professor Paul Hofman |  | Decline | 25/08/2020 | Liggins Institute | pharmaceutical company |
| 20/NTB/169 | Efficacy and Safety of Benralizumab in Moderate to Very Severe Chronic Obstructive Pulmonary Disease (COPD) with a History of Frequent Exacerbations | Dr Benedict(Ben) D.J. Brockway |  | Approve | 17/08/2020 | Southern District Health Board/University of Otago | pharmaceutical company |
| 20/NTB/170 | Outcome of criminal investigation after child sexual abuse | Dr Patrick Kelly | 25/08/2020 | Approve | 3/12/2020 | Starship Children's Health | district health board (DHB) |
| 20/NTB/171 | C19 Disability Experience | Mr David Darling | 5/08/2020 | Decline | 1/10/2020 | Capital Coast District Health Board | district health board (DHB) |
| 20/NTB/174 | Presentation of appendicitis during COVID-19 | Dr Jessica Rayner | 5/08/2020 | Approve | 12/10/2020 |  | no sponsor |
| 20/NTB/175 | Efficacy of incisional versus punch biopsy in histological diagnosis of oral white lesions and patients’ experience | DR Pritesh Narsinh | 18/08/2020 | Decline | 23/11/2020 |  | no sponsor |
| 20/NTB/178 | Comparison of diagnostic techniques to diagnose and locate prostate cancer. | Dr. Elisa Perry | 18/08/2020 | Approve | 2/10/2020 | Pacific Radiology Canterbury | collaborative research |
| 20/NTB/179 | Burden of care in children with orofacial cleft | Associate Professor John Thompson | 21/08/2020 | Approve | 2/10/2020 |  | no sponsor |
| 20/NTB/182 | Repair study | Dr Nuala Helsby |  | Approve | 17/08/2020 | University of Auckland | academic institution |
| 20/NTB/183 | Correlations between MRI scans with brain tumour immunohistochemical markers. | Dr Bernard Kim |  | Invalid Application | 31/07/2020 | Auckland City Hospital | no sponsor |
| 20/NTB/184 | ATB200-07 | Dr Richard Roxburgh | 17/09/2020 | Approve | 3/11/2020 | ADHB | pharmaceutical company |
| 20/NTB/185 | “2 Case reports- Rare obstetric uterine events” | Dr Catherine Askew |  | Invalid Application | 7/08/2020 |  | no sponsor |
| 20/NTB/186 | Prevalence and characteristics of eosinophilic granulomatosis with polyangiitis (EGPA) | Dr Luke Sutherland Registrar | 26/08/2020 | Provisionally approve |  |  | no sponsor |
| 20/NTB/187 | Perinatal mental health of Asian women | Dr Elsie Ho | 28/08/2020 | Approve | 7/10/2020 | Asian Family Services | district health board (DHB) |
| 20/NTB/192 | STRIDES | Dr Marie-Claire Smith |  | Approve | 28/08/2020 |  | academic institution |
| 20/NTB/193 | COVID-19 blood pressure medication study | Dr Rinki Murphy | 4/09/2020 | Approve | 8/12/2020 |  | no sponsor |
| 20/NTB/194 | TIA pharmacotherapy Māori vs non-Māori patients | Mr Rajan Ragupathy |  | Invalid Application | 26/08/2020 | Waikato DHB | no sponsor |
| 20/NTB/195 | IBE-814-IVT-1: The Ripple-1 Study: Safety and Efficacy of Intravitreal Implants in Macular Oedema | Dr James Borthwick | 3/11/2020 | Approve | 30/10/2020 | Southern Eye Specialists | pharmaceutical company |
| 20/NTB/196 | Mapping the brain circuits involved in anxiety using EEG and fMRI | Professor Paul Glue | 17/09/2020 | Approve | 6/11/2020 | University of Otago | no sponsor |
| 20/NTB/197 | MILESTONE - LABA/LAMA InhaLErS To prOtect agaiNst the adverse airway Effects of beta-blockers in COPD. | Dr Catherina Chang | 17/09/2020 | Approve | 5/11/2020 | Waikato Hospital | district health board (DHB) |
| 20/NTB/198 | The natural history of low-anterior resection syndrome | Prof Ian Bissett |  | Invalid Application | 26/08/2020 | The University of Auckland | no sponsor |
| 20/NTB/199 | GS-US-496-5619: A study assessing single doses of the investigational drug GS-3583, in healthy adults. | Dr Christian Schwabe | 17/09/2020 | Approve | 23/09/2020 | Auckland Clinical Studies (ACS) Ltd | pharmaceutical company |
| 20/NTB/200 | Children's Anti-inflammatory Reliever (CARE) | Professor Richard Beasley |  | Approve | 17/09/2020 | Medical Research Institute of New Zealand | academic institution |
| 20/NTB/201 | Eclipse AF | Dr Ian Crozier | 17/09/2020 | Approve | 22/01/2021 | Cantebury District Health Board | medical device company |
| 20/NTB/202 | Observational study of ambulance call-outs for asthma | Dr James Fingleton | 1/09/2020 | Approve | 29/09/2020 | Medical Research Institute of New Zealand | academic institution |
| 20/NTB/203 | Volume adjustment of audiobook listening for amblyaudia | Dr Joan Leung |  | Decline | 17/09/2020 | University of Auckland | no sponsor |
| 20/NTB/204 | Neuroendocrine cervical cancer case series | Dr Sam Holford |  | Invalid Application | 27/08/2020 | Taranaki District Health Board | no sponsor |
| 20/NTB/205 | Antiseptic contact dermatitis in Waikato | Dr Ming Yan Lydia Chan | 1/09/2020 | Approve | 2/10/2020 |  | no sponsor |
| 20/NTB/206 | Observational study to evaluate Quality of Life (QoL) of patients living with end stage metastatic castration resistance prostate cancer. | Mr Madhusudan Vyas | 1/09/2020 | Approve | 8/12/2020 |  | no sponsor |
| 20/NTB/208 | The Rakeiora Primary Care Pathfinder Study | Professor Stephen Robertson |  | Decline | 1/09/2020 |  | academic institution |
| 20/NTB/209 | The OMG Study | Mrs Sharon Erdrich | 1/09/2020 | Approve | 9/11/2020 | The University of Sydney | academic institution, other |
| 20/NTB/210 | Assessment of new method for separating sperm from semen samples | Mrs Jeanette MacKenzie | 7/09/2020 | Approve | 12/10/2020 |  | no sponsor |
| 20/NTB/212 | Idiopathic factor V inhibitor in a patient with end-stage renal failure | Dr Luke Sutherland Registrar |  | Invalid Application | 27/08/2020 |  | no sponsor |
| 20/NTB/214 | (duplicate) Cochlear implants in variable intralabyrinthine pressure conditions; large vestibular aqueduct and Meniere's disease | Dr Tanja Jelicic | 2/09/2020 | Approve | 12/10/2020 | ADHB | no sponsor |
| 20/NTB/215 | Defining the causes of kidney transplant failure | Dr Michael Collins |  | Approve | 14/09/2020 | Auckland District Health Board | no sponsor |
| 20/NTB/216 | Investigating the excretion of SARS-CoV-2 in saliva and faeces | Dr Brent Gilpin | 7/09/2020 | Approve | 30/09/2020 | ESR | other government agency |
| 20/NTB/217 | Aortic dissection in New Zealand | Mr William Xu | 17/09/2020 | Approve | 2/10/2020 |  | no sponsor |
| 20/NTB/218 | Blood oxygen during a breath-hold freedive | Dr TKM Scott |  | Approve | 17/09/2020 | Auckland District Health Board | academic institution |
| 20/NTB/219 | Unplanned admissions to Wellington ICU during COVID-19 lockdown | Dr Paul Young |  | Approve | 14/09/2020 |  | no sponsor |
| 20/NTB/221 | GlobalSurg-CovidSurg Week | Dr Deborah Wright |  | Approve | 25/09/2020 | Otago Medical School Dunedin Campus | no sponsor |
| 20/NTB/222 | DREAM3R | Dr Aileen Ludlow | 21/10/2020 | Approve | 26/01/2021 | Auckland City Hospital | academic institution |
| 20/NTB/223 | ASPEN | Dr Catherina Chang | 21/10/2020 | Approve | 7/12/2020 | Waikato Hospital | pharmaceutical company |
| 20/NTB/224 | BGB-900-105: A study to evaluate the safety and effectiveness of combining two investigational anticancer drugs in solid tumours. | Dr Rajiv Kumar | 21/10/2020 | Approve | 24/11/2020 | Christchurch Clinical Studies Trust Ltd | pharmaceutical company |
| 20/NTB/225 | The efficacy of telephone Haematology appointments during COVID-19 | Dr Francesca Finch |  | Invalid Application | 2/11/2020 |  | no sponsor |
| 20/NTB/226 | STEP III | Dr Matthew Daly | 21/10/2020 | Approve | 21/12/2020 | Canterbury District Health Board | district health board (DHB) |
| 20/NTB/227 | Asthma self-management among Pacific children with asthma | Dr. Sunia Foliaki |  | Withdrawn by Researcher | 21/10/2020 | Centre for Public Health Research; Massey University | no sponsor |
| 20/NTB/228 | DBI Audit of inpatient fallers. | Dr Claire O'Leary |  | Invalid Application | 28/09/2020 |  | no sponsor |
| 20/NTB/229 | ChildPlayWorks data analysis project | PhD, Professor Jeff Cochran |  | Invalid Application | 28/09/2020 | University of Tennessee | no sponsor |
| 20/NTB/230 | FAMILY GROUP EDUCATION INTERVENTION FEASIBILITY STUDY FOR MOOD DISORDERS | Dr Maree Inder | 29/10/2020 | Approve | 6/11/2020 | University of Otago, Christchurch | academic institution |
| 20/NTB/231 | RELIEVE-HF TRIAL | Dr Richard Troughton | 21/10/2020 | Approve | 17/11/2020 | Canterbury District Health Board | medical device company |
| 20/NTB/232 | Umbilical cord stem cells in eye diseases | Professor Trevor Sherwin | 2/11/2020 | Approve | 18/11/2020 | University of Auckland | academic institution, collaborative research |
| 20/NTB/233 | Risk-factors for perinatal mortality in Indian women, in New Zealand context. | Miss Esti de Graaff |  | Invalid Application | 28/09/2020 | University of Auckland | no sponsor |
| 20/NTB/234 | (duplicate) ORATORIO HAND (O'HAND) | Dr Jennifer Taylor | 21/10/2020 | Approve | 27/01/2021 | Capital and Coast District Health Board | pharmaceutical company |
| 20/NTB/235 | Experience of Indian Mental Health Service Users managing comorbid conditions (Psychosis and Type II Diabetes) | Dr Rajendra Pavagada | 21/10/2020 | Approve | 27/01/2021 | Mental Health and Addiction Service, Counties Manukau District Health Board | district health board (DHB) |
| 20/NTB/237 | MBCT for family dementia carers consultation study | Dr Emme Chacko | 22/10/2020 | Approve | 21/12/2020 | The University of Auckland | academic institution |
| 20/NTB/238 | (duplicate) CloSure Study | Mr Andrew Hill |  | Approve | 22/10/2020 | Auckland District Health Board | medical device company |
| 20/NTB/239 | BRight First | Associate Professor Andrew Holden | 22/10/2020 | Approve | 9/02/2021 | Auckland District Health Board | medical device company |
| 20/NTB/243 | TRIOMPHE | Associate Professor Andrew Holden |  | Approve | 22/10/2020 | Auckland District Health Board | medical device company |
| 20/NTB/245 | CaPTO (Ca Prostate Treatment Outcomes) study | Dr Kamran Zargar Shoshtari |  | Decline | 9/10/2020 | University of Auckland | no sponsor |
| 20/NTB/250 | Crohn’s and Colitis in New Zealand | Dr Andrea Mannetje |  | Invalid Application | 29/09/2020 | Massey University | academic institution |
| 20/NTB/253 | OSPREY | Dr Frank Weilert |  | Invalid Application | 29/09/2020 |  | medical device company |
| 20/NTB/254 | Smart Search II - Production Pilot | Dr Yiwen Zhang | 12/10/2020 | Approve | 9/11/2020 |  | collaborative research |
| 20/NTB/255 | ALLG NBCR | Prof Peter Browett |  | Decline | 15/10/2020 | Auckland City Hospital | collaborative research |
| 20/NTB/256 | The Relationship between Chronic Apple Cider Vinegar Consumption, Body Mass Index, and The Rate of Psychological and Physiological Adaptations. | Ms Amber Hookway | 15/10/2020 | Approve | 5/11/2020 |  | no sponsor |
| 20/NTB/257 | Paediatric Orthopaedic Presentations During the Covid-19 Lockdown | Dr Blair Mason | 21/10/2020 | Approve | 6/11/2020 | Auckland District Health Board | no sponsor |
| 20/NTB/258 | Learning from Adverse Events and Consumer, Family and Whaanau Experience | Mrs. Carole Kennedy |  | Decline | 15/10/2020 | Waikato District Health Board | district health board (DHB), other government agency |
| 20/NTB/259 | Neurogenic stuttering: characteristics and treatment | Ms Nicole Renaud |  | Decline | 15/10/2020 | University of Canterbury | no sponsor |
| 20/NTB/260 | Kiwifruit - a possible transcriptomic modulator. | Dr Andrew Carroll | 21/10/2020 | Approve | 9/11/2020 | Plant & Food Research | other government agency |
| 20/NTB/261 | HCV Seroprevalence Study | Dr Catherine Stedman |  | Approve | 21/10/2020 | Canterbury District Health Board | no sponsor |
| 20/NTB/262 | Return to work and financial toxicity in HPV OPC | Dr Chelsea Heaven | 21/10/2020 | Approve | 8/12/2020 | Northland DHB | no sponsor |
| 20/NTB/264 | CIPHUR | Dr Guy Stanley |  | Decline | 21/10/2020 |  | no sponsor |
| 20/NTB/265 | MOGNZ | Dr Sean Lance |  | Approve | 29/10/2020 | CCDHB | no sponsor |
| 20/NTB/266 | GEn1E-1124-001: A study assessing single and multiple doses of GEn1E-1124. | Dr Chris Wynne |  | Withdrawn by Researcher | 27/10/2020 | Christchurch Clinical Studies Trust Ltd | pharmaceutical company |
| 20/NTB/267 | A Phase III double-blind study to assess safety and efficacy of an RSV Maternal unadjuvanted vaccine, in pregnant women and infants born to vaccinated mothers | Dr Rebecca Griffith | 13/11/2020 | Approve | 15/12/2020 | Optimal Clinical Trials | pharmaceutical company |
| 20/NTB/268 | BP101 for HSDD | Dr Penelope Montgomery | 13/11/2020 | Approve | 21/12/2020 | Optimal Clinical Trials | pharmaceutical company |
| 20/NTB/269 | Alleviate-HF-2 Study | Assoc. Prof. Gerard Thomas Wilkins | 13/11/2020 | Approve | 22/12/2020 | Southern District Health Board | medical device company |
| 20/NTB/270 | MiBio-EB | Dr Matthew Malone |  | Decline | 13/11/2020 | South Western Sydney Local Health District | no sponsor |
| 20/NTB/272 | (duplicate) (duplicate) GastroIntestinal dysFunction in criTical illness(GIFT):Gut BiOmarker(BOx)study | Ms Varsha Asrani |  | Invalid Application | 22/10/2020 | ADHB / University of Auckland | academic institution, district health board (DHB) |
| 20/NTB/274 | Disrupt PAD+ Study | Associate Professor Andrew Holden |  | Approve | 5/11/2020 | Auckland District Health Board | medical device company |
| 20/NTB/275 | Pacific Values, young adults and Covid-19 | Mark Gatt |  | Invalid Application | 23/10/2020 | Think Ladder | other |
| 20/NTB/276 | Rainbow Young People Census | Dr John Fenaughty |  | Approve | 5/11/2020 | University of Auckland | no sponsor |
| 20/NTB/277 | Prevalence of Biochemical Osteomalacia in Auckland: linkage study | Dr Mark Bolland | 5/11/2020 | Approve | 18/11/2020 |  | no sponsor |
| 20/NTB/278 | Transmission of SARS-CoV-2 in aircraft | Dr. Julie Bennett |  | Approve | 5/11/2020 |  | no sponsor |
| 20/NTB/279 | BO42533 Atezolizumab + tiragolumab vs atezolizumab + placebo as 1st line treatment for people with squamous cell carcinoma of the head and neck. | Dr Richard North | 13/11/2020 | Approve | 5/02/2021 | Tauranga Hospital | pharmaceutical company |
| 20/NTB/280 | Implementing high intensity interval training in school | Associate Professor Nigel Harris | 13/11/2020 | Approve | 7/12/2020 | Auckland University of Technology | academic institution |
| 20/NTB/281 | OP-1PC111-301: Phase III study of Pitavastatin and Ezetimibe in patients with Primary Hypercholesterolemia or Mixed Dyslipidemia | Dr Susan Smith | 13/11/2020 | Approve | 3/12/2020 | Southern Clinical Trials, Waitemata | pharmaceutical company |
| 20/NTB/282 | Saccrococcygeal teratoma - international collaborative retrospective review | Mr Kiarash Taghavi |  | Invalid Application | 23/10/2020 | C&CDHB | no sponsor |
| 20/NTB/283 | Improving outcome in paediatric kidney transplant patients | Dr Chanel Prestidge | 13/11/2020 | Approve | 24/02/2021 | ADHB | no sponsor |
| 20/NTB/284 | ALN-HSD-001: A study assessing single doses of ALN-HSD, in healthy adults. | Dr Chris Wynne |  | Approve | 5/11/2020 | Christchurch Clinical Studies Trust Ltd | pharmaceutical company |
| 20/NTB/286 | Correlation between the faecal immunochemical test and significant bowel disease on colonoscopy in patients presenting with lower gastrointestinal symptoms. A cohort study to determine the feasibility | Doctor Sean Kelly |  | Invalid Application | 5/11/2020 | Tauranga Public Hospital, Bay of Plenty District Health Board | district health board (DHB) |
| 20/NTB/287 | MOCCA Pilot | Dr Gillian Whalley | 18/11/2020 | Approve | 7/04/2021 | University of Otago | academic institution |
| 20/NTB/288 | A serological survey of SARS-CoV-2 in the Queenstown Lakes District population | Prof Philip Hill | 17/12/2020 | Approve | 25/03/2021 | University of Otago | no sponsor |
| 20/NTB/289 | Does the Administration of Proton Pump Inhibitors Effect Capecitabine Pharmacokinetics? - APEC | Dr Edmond Ang |  | Approve | 17/11/2020 | AUCKLAND DISTRICT HEALTH BOARD | district health board (DHB) |
| 20/NTB/290 | ADHD amongst School-aged Children in New Zealand | Ms Charlene Tipene |  | Invalid Application | 16/11/2020 | Massey University | no sponsor |
| 20/NTB/291 | BerriQi sarcoidosis study | Dr Doug Rosendale |  | Decline | 13/01/2021 | Anagenix Ltd | other |
| 20/NTB/293 | COVID-19 Restrictions and Emergency Department Presentations | A/Prof Peter Jones | 10/12/2020 | Approve | 17/12/2020 | ADHB | no sponsor |
| 20/NTB/294 | The Cancer Molecular Screening and Therapeutics (MoST) Program | Dr Michelle Wilson | 17/12/2020 | Approve | 19/04/2021 | Auckland Hospital | academic institution |
| 20/NTB/295 | HBI-3000-402: A Phase 2, Two-Stage, Serial Cohort Dose Escalation and Expansion Study of a Single Intravenous Infusion of HBI 3000 for the Conversion of Atrial Fibrillation (AF) of Recent Onset | Dr Darren Hooks | 17/12/2020 | Approve | 11/01/2021 | Wellington Regional Hospital | pharmaceutical company |
| 20/NTB/296 | A Phase 2a Study of DCR-A1AT in Patients with A1ATD-associated Liver Disease. | Professor Edward Gane | 17/12/2020 | Approve | 19/01/2021 | Auckland Clinical Studies Ltd and Auckland City Hospital | pharmaceutical company |
| 20/NTB/297 | The GEMS Follow-up Study | Professor Caroline Crowther | 17/12/2020 | Approve | 24/03/2021 | University of Auckland | academic institution |
| 20/NTB/298 | Physiological study to compare NIV masks. | Dr Andrew Veale | 17/12/2020 | Approve | 15/03/2021 | New Zealand Sleep Research Institute | medical device company |
| 20/NTB/299 | MelMarT-II | Mr Richard Martin | 17/12/2020 | Approve | 12/03/2021 | Waitemata District Health Board | collaborative research |
| 20/NTB/300 | EPICURE | Ms Bridget Little | 17/12/2020 | Approve | 12/03/2021 | Starship Child Health | no sponsor |
| 20/NTB/301 | Baby’s SOS message in a bottle | Associate professor Mhoyra Fraser |  | Decline | 17/12/2020 | University of Auckland | no sponsor |
| 20/NTB/302 | CAB 400mg/mL Formulation Pharmacokinetic, Safety and Tolerability Study | Dr Christian Schwabe | 17/12/2020 | Approve | 24/02/2021 | Auckland Clinical Studies (ACS) Ltd | pharmaceutical company |
| 20/NTB/303 | (duplicate) (duplicate) Efficacy and safety of oral semaglutide versus placebo both in combination with metformin and/or basal insulin in children and adolescents with type 2 diabetes | Professor Paul Hofman | 17/12/2020 | Approve | 12/03/2021 | Liggins Institute | pharmaceutical company |
| 20/NTB/304 | TCH-306: foresiGHt: Study comparing lonapegsomatropin with placebo and somatropin in adults with growth hormone deficiency. | Dr Richard Carroll | 17/12/2020 | Approve | 2/03/2021 | Capital & Coast DHB | pharmaceutical company |
| 20/NTB/305 | Mother and child well-being. | Dr Andi Crawford |  | Invalid Application | 19/11/2020 | University of Auckland | academic institution |
| 20/NTB/306 | A Retrospective review of temperature management in oncological-gynaecology surgery | Dr Douglas Campbell |  | Invalid Application | 19/11/2020 | Auckland District Health Board | no sponsor |
| 20/NTB/307 | Retrospective Review of Postoperative Respiratory Complications | Dr Douglas Campbell |  | Invalid Application | 19/11/2020 | Auckland District Health Board | no sponsor |
| 20/NTB/308 | (duplicate) Photonic Probe for Rapid Prostate Cancer Detection | Dr Claude Aguergaray |  | Approve | 27/11/2020 | The Photon Factory, The University of Auckland | academic institution |
| 20/NTB/309 | Kiwifruit and exercise performance study | Dr Kerry Bentley-Hewitt |  | Invalid Application | 25/11/2020 | Plant and Food research | no sponsor |
| 20/NTB/311 | Empowering rural solutions | Professor Beverley Lawton |  | Approve | 2/12/2020 | Women's Health Research Centre | academic institution |
| 20/NTB/312 | A study to investigate the relationship between subcutaneous pressures, elastography and the skin changes associated with venous disease. | Ms Brigid Geraldine Hill |  | Approve | 3/12/2020 | University of Otago | academic institution, district health board (DHB) |
| 20/NTB/313 | Long-term Outcomes of Complex Regional Pain Syndrome (CRPS) | Miss Shari Cave | 17/12/2020 | Approve | 1/03/2021 | The University of Auckland, Faculty of Medical and Health Sciences | academic institution |
| 20/NTB/314 | SaT4CoV2 | Dr Brett Cowan |  | Approve | 17/12/2020 | Institute of Environmental Science and Research Limited | other government agency |
| 20/NTB/315 | Nasopharyngeal verses Saliva based samples for detection of SARS-Cov-2 | Mr Gavin Thomas |  | Decline | 21/12/2020 | Thomas Agencies | other |
| 20/NTB/316 | Does the reduction of the volar cortex reduce the risk of displacement in distal radius fractures | Dr Justin Mathews |  | Invalid Application | 21/12/2020 | Bay of Plenty District Health Board | no sponsor |
| 21/NTB/1 | Relatlimab and Nivolumab as second-line therapy for advanced liver cancer | Prof Edward Gane | 15/02/2021 | Approve | 22/03/2021 | Auckland District Health Board | pharmaceutical company |
| 21/NTB/10 | COVERS trial | Dr Andrew Cameron | 15/02/2021 | Approve | 2/06/2021 | Counties Manukau Health | no sponsor |
| 21/NTB/100 | Altering the cough response after stroke | Dr Phoebe Macrae | 23/04/2021 | Approve | 27/05/2021 | University of Canterbury | no sponsor |
| 21/NTB/101 | Effect of Video & Entonox on Patient Comfort & Clinical Efficacy of Flexible Sigmoidoscopy | Associate Professor Philip F Bagshaw | 23/04/2021 | Approve | 24/05/2021 | Canterbury Charity Hospital Trust | non-governmental organisation (NGO) |
| 21/NTB/102 | Hypoxia and Hypercapnia Diver Training Study | Miss Stefanie Martina | 23/04/2021 | Approve | 3/05/2021 | University of Auckland | academic institution |
| 21/NTB/103 | AIRVO3: Post-Cardiothoracic | Mr Raulle Sol Cruz |  | Approve | 13/05/2021 | Medical Research Institute of New Zealand | medical device company |
| 21/NTB/104 | FRAIL M | Dr Rajeev Rajagopal | 13/05/2021 | Approve | 28/06/2021 |  | collaborative research |
| 21/NTB/105 | The EMMAC Study | Professor Paul Glue | 13/05/2021 | Provisionally approve |  | University of Otago | academic institution, collaborative research |
| 21/NTB/106 | The actions of apples on gut microbiota and bowel health in healthy adults | Dr Christine Butts | 3/05/2021 | Approve | 18/05/2021 | Plant and Food Research Ltd | no sponsor |
| 21/NTB/107 | Absorbable vs. Non-absorable Sutures in Adults Undergoing Carpal Tunnel Release | Dr Andrew Muller | 3/05/2021 | Provisionally approve |  | Taranaki Base Hosptial, TDHB | no sponsor |
| 21/NTB/108 | CT61101 (COVID-19): A Study of ReCOV Vaccine in Healthy Subjects | Dr. Chris Wynne |  | Approve | 29/04/2021 | New Zealand Clinical Research | pharmaceutical company |
| 21/NTB/109 | New Zealand Emergency Department Survey | Dr Andrew Munro |  | Invalid Application | 22/04/2021 | NMDHB | no sponsor |
| 21/NTB/11 | (duplicate) Brain Injury Incidence and Service Access New Zealand in the Community (BIONIC2) | Dr Kelly Jones | 15/02/2021 | Approve | 25/03/2021 | Auckland University of Technology | academic institution |
| 21/NTB/110 | BFTA-AU-001: A Phase 1 Bioequivalence Study of BFI-751 compared with EU-STELARA and US-STELARA | Dr. Christian Schwabe |  | Approve | 13/05/2021 | New Zealand Clinical Research Ltd. | pharmaceutical company |
| 21/NTB/111 | RESHAPING Study | Dr Yuxuan Zhou | 13/05/2021 | Provisionally approve |  |  | collaborative research |
| 21/NTB/112 | CareSens Air in patients with type 1 diabetes | A/Prof. Ben Wheeler | 13/05/2021 | Approve | 2/07/2021 | Otago University | medical device company |
| 21/NTB/113 | CORI.2019.06 | Mr Simon Young |  | Approve | 10/05/2021 | Waitemata DHB, University of Auckland | medical device company |
| 21/NTB/114 | Developing a recurrence score system for cutaneous SCC | Dr Jee Ah (Sarah) Oh |  | Approve | 10/05/2021 | Waikato DHB | no sponsor |
| 21/NTB/115 | (duplicate) ALLG NBCR | Prof Peter Browett |  | Approve | 10/05/2021 | Auckland City Hospital | collaborative research |
| 21/NTB/116 | Youth Kidney Graft Outcomes | Dr Nithiakishna Selvathesan |  | Invalid Application | 29/04/2021 |  | no sponsor |
| 21/NTB/117 | COVID-19 vaccine immune responses in New Zealand | Dr Michael Williams | 6/05/2021 | Approve | 1/06/2021 | Lakeland Clinical Trials Group | collaborative research |
| 21/NTB/118 | Herpes Zoster: Epidemiology and Vaccine Effectiveness | Mr James Mbinta |  | Invalid Application | 17/05/2021 | School of Health, Wellington Faculty of Health, Victoria University of Wellington | no sponsor |
| 21/NTB/119 | Impact of kawakawa on energy metabolism and human physiology | Dr Chris Pook | 27/05/2021 | Approve | 29/06/2021 | University of Auckland | academic institution |
| 21/NTB/12 | VLX-401: A study assessing the safety and effectiveness of Volixibat for the treatment of intrahepatic cholestasis of pregnancy. | Dr Joanna Gullam |  | Approve | 15/02/2021 | University of Otago, Christchurch | pharmaceutical company |
| 21/NTB/120 | RETAINER Study 1 New Zealand | Dr Christopher Harmston | 26/05/2021 | Approve | 18/06/2021 | Whangarei Hospital | collaborative research |
| 21/NTB/121 | Whangaia ka tupu, ka puawai. | Dr Kendall Stevenson | 25/05/2021 | Provisionally approve |  | The Dragon Institute of Innovation for Social Change. | no sponsor |
| 21/NTB/122 | Pelvic fracture fixation prognosis | Dr Shuwen He |  | Decline | 25/05/2021 |  | no sponsor |
| 21/NTB/123 | VICTOR | Dr Claire Hemmaway |  | Approve | 23/06/2021 | Auckland District Health Board | collaborative research |
| 21/NTB/124 | Havening feasibility and acceptability for adolescents | Dr Josephine Stanton |  | Decline | 23/06/2021 | Auckland Healthcare | no sponsor |
| 21/NTB/125 | Youth on Dialysis Survey | Dr Mark Marshall | 23/06/2021 | Provisionally approve |  | Counties Manukau Health | academic institution |
| 21/NTB/126 | CK-301-301: Phase 3 study on Cosibelimab (CK-301) in Participants with Non-Small Cell Lung Cancer | Dr Dean Harris | 23/06/2021 | Provisionally approve |  | Christchurch Oncology Research Unit, Canterbury Regional Cancer & Haematology Service | pharmaceutical company |
| 21/NTB/127 | Spring-assisted cranioplasty for sagittal craniosynostosis | Dr Fangbo Lin |  | Out Of Scope | 24/05/2021 | Middlemore Hospital | no sponsor |
| 21/NTB/128 | TaCAS-FU trial | Dr VIVIAN FU | 23/06/2021 | Provisionally approve |  | Medical Research Institute of New Zealand | academic institution |
| 21/NTB/129 | The AVIatioN Study | Dr Barbara Cormack | 23/06/2021 | Provisionally approve |  | Starship Child Health | academic institution |
| 21/NTB/13 | A Study of Subcutaneous Nivolumab in Previously Treated Advanced or Metastatic Clear Cell Renal Cell Carcinoma | Dr Carmel Jacobs | 15/02/2021 | Approve | 25/03/2021 | Auckland City Hospital | pharmaceutical company |
| 21/NTB/130 | Classification of cochlear implant complications | Dr. Lara Benoiton |  | Out Of Scope | 24/05/2021 |  | no sponsor |
| 21/NTB/131 | D9072C00001: A Study of Subcutaneous Durvalumab in Patients with Non-Small Cell and Small Cell Lung Cancer | Dr. Rajiv Kumar | 23/06/2021 | Approve | 5/07/2021 | New Zealand Clinical Research Ltd. | pharmaceutical company |
| 21/NTB/132 | Adolescent and Young Adult (AYA) Cancer Survivorship | Dr Kate Kilpatrick |  | Out Of Scope | 24/05/2021 | Auckland DHB | no sponsor |
| 21/NTB/133 | Quality Improvement in Healthcare | Mrs Bridget Thompson |  | Invalid Application | 24/05/2021 | Massey University | no sponsor |
| 21/NTB/134 | Examining the effect of using Biodegradable Temporising Matrix (BTM) in a National Burns Unit. | Dr James Eason |  | Out Of Scope | 24/05/2021 |  | no sponsor |
| 21/NTB/135 | Progression of aortic valve disease in patients undergoing coronary angiography | Dr Sean Coffey | 27/05/2021 | Provisionally approve |  | University of Otago | academic institution |
| 21/NTB/137 | Exploratory long-term follow-up of subject implanted with FAIOL during CLEAR study | Dr Dean Corbett | 31/05/2021 | Provisionally approve |  | Auckland Eye Limited | medical device company |
| 21/NTB/138 | Vitamin C, immunity and respiratory infection | A/Prof Anitra Carr |  | Approve | 31/05/2021 | University of Otago, Christchurch | academic institution |
| 21/NTB/139 | Does Gadolinium-based contrast during MRI scans affect PSA level | Dr Christo Creffier |  | Out Of Scope | 27/05/2021 |  | no sponsor |
| 21/NTB/140 | CoV2-OGEN1-101 (COVID-19): A Study of CoV2-OGEN1 in Healthy Subjects | Dr. Christian Schwabe | 1/06/2021 | Approve | 6/07/2021 | New Zealand Clinical Research Ltd. | pharmaceutical company |
| 21/NTB/141 | A Mindfulness-based intervention for Tāmaki health patients | Miss April Kerslake | 31/05/2021 | Approve | 11/06/2021 | Auckland University of Technology | academic institution |
| 21/NTB/142 | PAR Calls | Dr Catherine Sayer |  | Out Of Scope | 31/05/2021 | Auckland District Health Board | collaborative research |
| 21/NTB/143 | Use of a smart-phone based portable fundus camera in a rural General Practice | Dr Scott Davidson |  | Decline | 9/06/2021 |  | collaborative research |
| 21/NTB/144 | Noho Āhuru – Healthy Homes kete. | Dr Alison Leversha |  | Invalid Application | 31/05/2021 |  | no sponsor |
| 21/NTB/145 | Preterm birth, preterm delivery and cardiovascular disease risk stratification | Dr Sarah Harris |  | Approve | 4/06/2021 |  | academic institution |
| 21/NTB/146 | NH-CBT for non-epileptic seizures | Dr Matthew Richardson |  | Approve | 11/06/2021 | University of Otago | academic institution |
| 21/NTB/147 | Understanding the affects of COVID 19 upon Tangata Whaiora | Ms Maria Baker |  | Invalid Application | 3/06/2021 |  | no sponsor |
| 21/NTB/148 | The determinants of health for Māori mothers and adults with chronic diseases | Professor Ross Lawrenson | 17/06/2021 | Provisionally approve |  | Waikato DHB | no sponsor |
| 21/NTB/15 | TAVI, frailty, and quality of life | Dr Yoomi Clarkson |  | Decline | 9/02/2021 |  | no sponsor |
| 21/NTB/150 | Neonate Stoma Refeeding Device Study | Mr Andre Modesto | 20/07/2021 | Provisionally approve |  |  | medical device company, academic institution, non-governmental organisation (NGO) |
| 21/NTB/151 | dMMR colorectal cancer South Island | Dr Jeremy Yap |  | Approve | 21/06/2021 | Southern District Health Board | no sponsor |
| 21/NTB/152 | CORI.2020.08 | Mr Simon Young |  | Approve | 21/06/2021 | Waitemata DHB, University of Auckland | medical device company |
| 21/NTB/153 | Hip MRI Posterior Crescent Sign Study | Dr Andrew MacDonald |  | Approve | 21/06/2021 | Auckland District Health Board | no sponsor |
| 21/NTB/154 | (duplicate) Pelvic fracture fixation prognosis | Dr Shuwen He |  | Approve | 21/06/2021 |  | no sponsor |
| 21/NTB/155 | Orthognathic Surgery Audit | Dr Imogen Scott |  | Invalid Application | 18/06/2021 |  | no sponsor |
| 21/NTB/156 | Hearing loss and CMV | Dr Holly Teagle |  | Decline | 20/07/2021 | The University of Auckland | no sponsor |
| 21/NTB/157 | NIRTURE Trial | Dr Maria Saito Benz | 20/07/2021 | Provisionally approve |  | Capital and Coast District Health Board | district health board (DHB) |
| 21/NTB/158 | A study to assess the safety and activity of a single injection of UBX1325 in patients with Diabetic Macular Oedema | Dr Narme Deva |  | Approve | 20/07/2021 | Eye Institute Auckland | pharmaceutical company |
| 21/NTB/159 | Handheld thermal pulsation vs standard of care for MGD management | Professor Jennifer P Craig | 20/07/2021 | Provisionally approve |  | The University of Auckland | academic institution |
| 21/NTB/16 | Micronutrient supplementation in metabolic syndrome | A/Prof Anitra Carr |  | Invalid Application | 27/01/2021 | University of Otago, Christchurch | academic institution |
| 21/NTB/160 | Understanding factors associated with functional improvement in major trauma patients | Ms Siobhan Isles |  | Approve | 24/06/2021 | Major Trauma National Clinical Network | no sponsor |
| 21/NTB/161 | SCIENCE | Dr Nichola Wilson | 20/07/2021 | Provisionally approve |  | The University of Auckland | academic institution |
| 21/NTB/162 | Comparison of a combination oral suspension containing paracetamol and ibuprofen in healthy volunteers. | Dr Noelyn Hung |  | Approve | 20/07/2021 | Zenith Technology Corporation Limited | pharmaceutical company |
| 21/NTB/163 | Development of imaging biomarkers for prostate radiotherapy treatment response | Dr Hayley Reynolds | 20/07/2021 | Provisionally approve |  | The University of Auckland | academic institution |
| 21/NTB/164 | Moemoea: Sleep and sleep practices within Pacific communities | Mrs Amio Matenga Ikihele | 29/06/2021 | Approve | 19/07/2021 |  | collaborative research , non-governmental organisation (NGO) |
| 21/NTB/165 | TRANSFORM-1: M16-191 A Phase 3 Study of Navitoclax Plus Ruxolitinib Versus Ruxolitinib in Subjects with Myelofibrosis | Dr James Liang | 20/07/2021 | Provisionally approve |  | Counties Manukau District Health Board | pharmaceutical company |
| 21/NTB/17 | Decision Support System at ED triage | Dr Zhenqiang Wu | 9/02/2021 | Approve | 27/04/2021 | The University of Auckland | academic institution |
| 21/NTB/172 | ONLINE TRAINING COURSE IN DEPENDENCY ON TOBACCO SMOKING TRIAL | Doctor Marewa Glover |  | Out Of Scope | 1/07/2021 | Centre of Research Excellence: Indigenous Sovereignty and Smoking | no sponsor |
| 21/NTB/174 | Skin specimen assessment - patient survey | Ms Libby Schurr |  | Out Of Scope | 1/07/2021 |  | no sponsor |
| 21/NTB/176 | ESCP Safe-anastomosis programme in colorectaL surgery (EAGLE) | Dr Anthony Lin |  | Out Of Scope | 1/07/2021 | Capital and Coast DHB | collaborative research |
| 21/NTB/180 | ICOR | Mr Johann Brink |  | Out Of Scope | 1/07/2021 | Starship Children's Hospital, Auckland District Health Board | no sponsor |
| 21/NTB/184 | Determining influence of respiration on terminal thoracic duct diameter and lymph flow using ultrasound. | Professor John Windsor |  | Approve | 16/07/2021 | University of Auckland | academic institution |
| 21/NTB/2 | The TRIGS Trial | Dr Daniel Faulke | 15/02/2021 | Approve | 21/06/2021 | Auckland District Health Board | collaborative research |
| 21/NTB/20 | An Open-label Study to Evaluate the Long-term Safety and Efficacy of CSL312 (Garadacimab) in the Prophylactic Treatment of Hereditary Angioedema | Dr Hilary Longhurst |  | Invalid Application | 1/02/2021 | Auckland City Hospital | pharmaceutical company |
| 21/NTB/24 | RespirAq humidifier usability during invasive ventilation | Dr Melanie Moylan | 9/02/2021 | Approve | 4/03/2021 | Auckland University of Technology | academic institution |
| 21/NTB/26 | VAPOR-C | Dr Douglas Campbell | 10/02/2021 | Approve | 27/05/2021 | Auckland District Health Board | collaborative research |
| 21/NTB/27 | INPOD - NZ | Dr Peter Xiang | 16/02/2021 | Approve | 6/04/2021 | Auckland District Health Board | collaborative research |
| 21/NTB/29 | Atmo versus Smartpill | Prof. Richard Gearry | 17/02/2021 | Approve | 9/03/2021 |  | medical device company, collaborative research |
| 21/NTB/3 | The RAPID Study | Dr Ian Crozier | 15/02/2021 | Approve | 26/03/2021 | Canterbury District Health Board | medical device company |
| 21/NTB/30 | Living with chronic cough and bronchiectasis during COVID-19 pandemic. | Dr Sarah Mooney | 19/02/2021 | Approve | 17/03/2021 | Counties Manukau Health | district health board (DHB) |
| 21/NTB/34 | Submaximal Force Control in the Unstable Shoulder: The Effect of Fatigue and Taping | Mr Thomas Adams |  | Invalid Application | 4/02/2021 | Auckland University of Technology | academic institution |
| 21/NTB/4 | A Phase III Study of Belantamab Mafodotin plus Pomalidomide and Dexamethasone vs Pomalidomide, Bortezomib and Dexamethasone in Participants with RRMM. | Dr Hugh Goodman | 15/02/2021 | Approve | 19/04/2021 | Waikato District Health Board | pharmaceutical company |
| 21/NTB/40 | ARISE FLUIDS | A/Prof Peter Jones | 18/03/2021 | Approve | 3/05/2021 | Auckland District Health Board | academic institution |
| 21/NTB/41 | PRM-151 STARSCAPE | Prof Lutz Erwin Lothar Beckert | 19/03/2021 | Approve | 3/05/2021 | University of Otago | pharmaceutical company |
| 21/NTB/42 | STARSCAPE OLE | Prof Lutz Erwin Lothar Beckert | 18/03/2021 | Approve | 5/05/2021 | University of Otago | pharmaceutical company |
| 21/NTB/43 | Phase III Study assessing the efficacy, safety and immunogenicity of SOK583A1 versus Eylea® in patients with neovascular age-related macular degeneration | Dr David Worsley |  | Decline | 18/03/2021 | Hamilton Eye Clinic | pharmaceutical company |
| 21/NTB/44 | TC-01-002: A study comparing Actemra®, RoActemra® and DRL\_Tocilizumab, in healthy adults. | Dr Christian Schwabe |  | Approve | 18/03/2021 | Auckland Clinical Studies (ACS) Ltd | pharmaceutical company |
| 21/NTB/45 | Phase I GDC-6036 in patients with solid tumors | Dr Sanjeev Deva | 18/03/2021 | Approve | 2/06/2021 | Auckland City Hospital | pharmaceutical company |
| 21/NTB/46 | A Study to Assess the Efficacy and Safety of Vatiquinone for the Treatment of Friedreich Ataxia (MOVE-FA) | Dr Richard Roxburgh | 18/03/2021 | Approve | 3/05/2021 | Auckland City Hospital | pharmaceutical company |
| 21/NTB/47 | COPES (COmbination of Physical Exercise and Synbiotics) 4 Bones | Dr. Bolaji Lilian Ilesanmi-Oyelere | 10/03/2021 | Approve | 23/03/2021 | Massey University | academic institution, other government agency |
| 21/NTB/48 | Pain Relief through Auricular Acupuncture(PRAiA) | Dr Hamish Jamieson | 12/03/2021 | Approve | 27/05/2021 |  | no sponsor |
| 21/NTB/49 | Ethnicity trends in inpatient eating disorders service | Dr Andrew Inglis | 12/03/2021 | Approve | 31/05/2021 | Auckland DHB | no sponsor |
| 21/NTB/5 | ALICE Study | Dr Matt Hart | 15/02/2021 | Approve | 5/07/2021 | Auckland District Health Board | collaborative research |
| 21/NTB/51 | Monitoring inert gas narcosis in hyperbaric environments | Mr Xavier Vrijdag | 16/03/2021 | Approve | 29/03/2021 | University of Auckland, School of Medicine | academic institution |
| 21/NTB/52 | Primary Health Care and Health Outcomes | Dr Mona Jeffreys |  | Invalid Application | 26/02/2021 | Victoria University of Wellington | academic institution |
| 21/NTB/53 | The wellbeing of parents of children with and without developmental disabilities | Dr Laurie McLay |  | Approve | 16/03/2021 |  | no sponsor |
| 21/NTB/54 | Pulmonary rehabilitation: A preference clinical trial of centre based and mHealth delivered rehabilitation. | Mrs Sarah Candy | 16/03/2021 | Approve | 23/03/2021 |  | academic institution |
| 21/NTB/55 | The effect of the auto-immune protocol diet in people with rheumatoid arthritis | Ms Julianne McNeill (nee Taylor) | 17/03/2021 | Approve | 3/05/2021 | AUT University | no sponsor |
| 21/NTB/56 | Activating communities to improve outcomes for wāhine Māori | Ms Nicole Pihema | 17/03/2021 | Approve | 4/05/2021 | Auckland DHB | no sponsor |
| 21/NTB/57 | Medications & PD risk | Dr Toni Pitcher |  | Approve | 17/03/2021 |  | no sponsor |
| 21/NTB/58 | Tears, Misfolded Proteins and Parkinson’s disease. | Professor John Dalrymple-Alford | 18/03/2021 | Provisionally approve |  | NZBRI and University of Canterbury | no sponsor |
| 21/NTB/59 | A novel, simple method of measuring cardiac output | Dr Ivor Popovich | 23/03/2021 | Withdrawn by Researcher | 3/05/2021 | Auckland Region DHBs | no sponsor |
| 21/NTB/6 | (duplicate) (duplicate) (duplicate) Gastrointestinal Dysfunction in Critical Illness - (GIFT study : Part II)22Dec2020 | Ms Varsha Asrani | 15/02/2021 | Approve | 25/03/2021 | ADHB / University of Auckland | academic institution, collaborative research , district health board (DHB) |
| 21/NTB/60 | Wellington Region Covid-19 Pacific Response | Mrs Jacinta Fa'alili-Fidow |  | Approve | 23/03/2021 | Moana Research | district health board (DHB) |
| 21/NTB/61 | TRBC1 flow cytometry and T-cell lymphoma | Dr Kirollos Kamel | 23/03/2021 | Approve | 1/04/2021 | Christchurch Hospital | district health board (DHB) |
| 21/NTB/62 | Clinical metagenomics for diagnosis of infection. | Dr. Maxim Bloomfield |  | Approve | 23/03/2021 | CCDHB | other government agency |
| 21/NTB/63 | VEMA - Very Early Medical Abortion Trial | Dr Gillian Gibson | 27/04/2021 | Approve | 2/06/2021 | Auckland District Health Board | academic institution, district health board (DHB) |
| 21/NTB/64 | TAVI Analysis | Dr Mark Webster |  | Approve | 23/03/2021 | Auckland District Health Board | collaborative research |
| 21/NTB/65 | Coeliac Disease and the Stomach | Dr Jack Ye | 23/03/2021 | Approve | 11/06/2021 | Southern District Health Board | no sponsor |
| 21/NTB/66 | C-VAK NZ study | Associate Professor Helen Pilmore | 23/03/2021 | Approve | 31/03/2021 | ADHB | no sponsor |
| 21/NTB/68 | Blackcurrant, lung function, cognition and exercise performance in ozone. | Dr Andrea Braakhuis | 23/03/2021 | Approve | 19/04/2021 |  | no sponsor |
| 21/NTB/69 | Phase 2b Safety and Efficacy Study of REN001 in Mitochondrial Myopathy | Dr Richard Roxburgh | 27/04/2021 | Approve | 24/05/2021 | University of Auckland | pharmaceutical company |
| 21/NTB/7 | 5F9009 ENHANCE: Magrolimab + Azacitidine versus Azacitidine + Placebo in Untreated MDS | Dr Leanne Berkahn | 15/02/2021 | Approve | 6/04/2021 | Auckland District Health Board | pharmaceutical company |
| 21/NTB/70 | Study of Encorafenib and Binimetinib Plus Pembrolizumab in BRAF V600E/K Mutation-Positive Metastatic or Unresectable Locally Advanced Melanoma | Dr Gareth Rivalland | 27/04/2021 | Approve | 2/06/2021 | Auckland City Hospital | pharmaceutical company |
| 21/NTB/71 | ANX007-GA-01: A Study Investigating the Efficacy and Safety of Intravitreal Injections of ANX007 in Patients with Geographic Atrophy (ARCHER) | Dr Oliver Comyn | 27/04/2021 | Approve | 31/05/2021 | CCST | pharmaceutical company |
| 21/NTB/72 | Neonate Stoma Refeeding Device Study | Mr Andre Modesto |  | Decline | 27/04/2021 |  | academic institution |
| 21/NTB/73 | PCOL-102-AHSF\_ATHENA Study | Dr Dean Corbett |  | Approve | 27/04/2021 | Auckland Eye Limited | medical device company |
| 21/NTB/74 | VIR-2218-1006: Phase 2 study on VIR-2218 + VIR-3434 in Participants with Chronic Hepatitis B Virus Infection | Professor Edward Gane |  | Approve | 27/04/2021 | Auckland Clinical Studies Ltd. | pharmaceutical company |
| 21/NTB/75 | MASTERPLAN | Dr Iain Ward | 27/04/2021 | Provisionally approve |  | Canterbury District Health Board | collaborative research |
| 21/NTB/76 | Effectiveness of a BiCROS hearing aid. | Dr Grant Searchfield | 1/04/2021 | Withdrawn by Researcher | 6/04/2021 |  | medical device company |
| 21/NTB/77 | Modification of social interpretive bias | Miss Rachel Knight | 31/03/2021 | Approve | 27/04/2021 | University of Otago | academic institution |
| 21/NTB/78 | Managing radiation-induced skin reactions in chest wall patients | Dr Patries Herst |  | Approve | 19/04/2021 | University of Otago | academic institution |
| 21/NTB/79 | Hip MRI Posterior Crescent Sign Study | Dr Andrew MacDonald |  | Invalid Application | 31/03/2021 | Auckland District Health Board | no sponsor |
| 21/NTB/8 | Machine learning applied to ECG to aid in cardiac diagnosis | Dr. Patrick Gladding |  | Decline | 9/02/2021 | Waitemata DHB | no sponsor |
| 21/NTB/80 | Responding to Pacific Maternal and Paternal Mental Health | Dr Seini Taufa |  | Approve | 19/04/2021 | Moana Research | other government agency |
| 21/NTB/81 | ACCESS qualitative study | Dr Matire Harwood |  | Invalid Application | 1/04/2021 |  | academic institution |
| 21/NTB/82 | Ethnicity observational study - dermatological conditions more commonly affecting Pacific people | Dr. Miriam Karalus | 19/04/2021 | Approve | 11/06/2021 |  | no sponsor |
| 21/NTB/83 | Long term failure rates and outcomes of arthroscopic shoulder stabilization | Dr Rachel Price |  | Invalid Application | 31/03/2021 |  | no sponsor |
| 21/NTB/84 | txtpēpi | Dr Rosie Dobson | 19/04/2021 | Approve | 17/05/2021 |  | academic institution |
| 21/NTB/85 | Curds and whey in preterm babies | Dr Chris Pook | 19/04/2021 | Approve | 17/05/2021 | University of Auckland | academic institution |
| 21/NTB/86 | (duplicate) Neurogenic stuttering: characteristics and treatment | Ms Nicole Renaud | 19/04/2021 | Approve | 26/05/2021 | University of Canterbury | no sponsor |
| 21/NTB/87 | Real-time assessment of mood changes and machine learning | Dr Frederick Sundram |  | Invalid Application | 31/03/2021 |  | academic institution |
| 21/NTB/88 | A comparison of thoracic pedicle morphology between Māori, Pacifica and New Zealand European populations: A retrospective computer tomography analysis | Dr Niroshan Kumar |  | Approve | 19/04/2021 |  | no sponsor |
| 21/NTB/9 | Dysphagia after stroke from admission to 6 months. | Mrs Marion VALLET |  | Approve | 15/02/2021 | University of Canterbury - Rose Centre for Stroke Recovery and Research | academic institution |
| 21/NTB/90 | CT61101 (COVID-19): A First-in-Human, Randomized, Double-Blind, Placebo-Controlled Study of ReCOV Vaccine in Healthy Subjects | Dr. Chris Wynne |  | Decline | 9/04/2021 | New Zealand Clinical Research (NZCR) | pharmaceutical company |
| 21/NTB/91 | Long term outcome of biopsy proven Henoch Schoenlein nephritis | Dr William Wong |  | Invalid Application | 8/04/2021 | Starship Children's Hospital | no sponsor |
| 21/NTB/92 | Development and Validation of Supplemental Visual Symptoms Questions for Cataract Patient Implanted with Accommodating Intraocular Lens (AIOLs) | Dr Swathi Kanduri |  | Invalid Application | 8/04/2021 | Auckland Eye Limited | pharmaceutical company, medical device company |
| 21/NTB/93 | Low carbohydrate diets and weight loss: NZ Defence force | Dr Caryn Zinn |  | Decline | 20/04/2021 | AUT University | academic institution |
| 21/NTB/95 | Adverse Events of Special Interest pre and post COVID-19 vaccination | Dr Helen Petousis-Harris |  | Approve | 20/04/2021 | Immunisation Advisory Centre | academic institution |
| 21/NTB/96 | KINGS | Dr. Simone Bayer | 23/04/2021 | Approve | 17/05/2021 | University of Otago | academic institution |
| 21/NTB/97 | Diabetes eye services in the Auckland Region | Dr Jacqueline Ramke |  | Approve | 23/04/2021 |  | no sponsor |
| 21/NTB/98 | Submaximal Force Control in the Unstable Shoulder: The Effect of Fatigue and Taping | Mr Thomas Adams | 23/04/2021 | Provisionally approve |  | Auckland University of Technology | academic institution |
| 21/NTB/99 | Maternal ACE prevalence and PND | Mrs Katrina Coleman |  | Invalid Application | 15/04/2021 | Plunket whānau awhina | academic institution |

# Declaration by Head of Organisation with Primary Responsibility for the EC

## Declaration by EC Chairperson

**Name of EC: Northern B Health and Disability Ethics Committee**

**I declare for the above named EC:**

* that the information supplied on this form and any attachment(s) is true and correct; and
* that, for the period to which this form relates, the EC has operated in accordance with relevant Guidelines and Legislation.

**Name:** Kate O’Connor \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_



**Signature: Date:** 24 September 2021

## Declaration by Head of Organisation with Primary Responsibility for the EC

**Name of EC: Northern B Health and Disability Ethics Committee**

**Name of organisation: Ministry of Health**

**On behalf of the above named organisation, and in relation to the above named EC, I declare that:**

* I am duly authorised to sign this declaration;
* the information supplied on this form and any attachment(s) is true and correct;
* the EC is adequately resourced and maintained;
* for the period to which this form relates, the organisation ensured that the EC’s Terms of Reference included information on the:
	+ scope of its responsibilities,
	+ relationship to non-affiliated researchers,
	+ accountability,
	+ mechanisms of reporting, and
	+ remuneration (if any) for members;
* the organisation accepts legal responsibility for decisions and advice received from the EC; and
* EC members are indemnified.

**Name:** Mr Nic Aagaard

 Title First Name Last Name

**Position :** Manager - Ethics

**E-mail :** hdecs@moh.govt.nz nz



**Signature: Date:** 24 September 2021

1. Data is directly reported from the online system. Any errors in spelling is reflected due to errors made by the applicant. [↑](#footnote-ref-1)